



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Certificate  
MAR 28 2006  
of Correction

Application of:

MICHAEL CHARLES MILNER COCKREM  
ISTVAN KOVACS

Serial No.: 09/809,534

Filed: March 15, 2001

For: AZEOTROPIC DISTILLATION OF  
CYCLIC ESTERS OF HYDROXY  
ORGANIC ACIDS

U.S. Patent No. 6,984,293

Issued: January 10, 2006

Attorney Docket: 2027.601000/KG  
(2006010)

CUSTOMER NO. 23720

REQUEST FOR CERTIFICATE OF CORRECTION  
UNDER 37 C.F.R. § 1.323 AND § 3.81(b)

MAIL STOP PETITIONS  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MAIL STOP PETITIONS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below.

March 22, 2006

*Cynde Meinhart*  
Cynde Meinhart

The patentee requests a certificate of correction pursuant to 37 C.F.R. §1.323 and §3.81(b). Applicants have already submitted a check in the amount of \$230 with a previous request for the fees required by 37 C.F.R. §1.20(a) and §1.17(i). A copy of the previous request is attached under Tab A. The PTO decision on that request, dated March 17, 2006, is attached under Tab B. If any additional fee is required, please charge Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/KG.

Applicants request correction of the omission of the assignee name from the cover page of the patent. The assignment was submitted for recordation as set forth in 37 C.F.R. §3.11 before issuance of the patent. Specifically, the assignment from the inventors to A. E. Staley Manufacturing Company was recorded on March 15, 2001 at reel 011624, frame 0093. The assignee name change from A. E. Staley Manufacturing Company to Tate & Lyle Ingredients Americas, Inc. was submitted to the PTO on August 12, 2005. A copy of that submission is attached under Tab C. The issue fee in this application was paid on August 26, 2005 and the patent issued on January 10, 2006.


Therefore, please issue a certificate of correction that adds the following assignee information:

-- Assignee: Tate & Lyle Ingredients Americas, Inc.  
Decatur, IL (US) --

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON, P.C.  
CUSTOMER NO. 23720

March 22, 2006

  
Kenneth D. Goodman  
Reg. No. 30,460  
10333 Richmond, Suite 1100  
Houston, Texas 77042  
(713) 934-4094  
(713) 934-7011 (fax)

ATTORNEY FOR APPLICANTS

U.S. Patent No. 6,984,293  
Request for Certificate of Correction  
Under 37 C.F.R. § 1.323 and § 3.81(b)

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : **6,984,293**  
DATED : **January 10, 2006**  
INVENTORS : **Michael Charles Milner Cockrem/Istvan Kovacs**

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below.

On the face of the patent, please add the assignee information as follows:

-- Assignee: Tate & Lyle Ingredients Americas, Inc.  
Decatur, IL (US) --

MAILING ADDRESS OF SENDER:  
Kenneth D. Goodman  
WILLIAMS, MORGAN & AMERSON, P.C.  
10333 Richmond, Suite 1100  
Houston, Texas 77042

PATENT NO. 6,984,293  
No. of add'l. copies  
@ 50¢ per page



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
MICHAEL CHARLES MILNER COCKREM	)	U.S. Patent No. 6,984,293
ISTVAN KOVACS	)	
	)	Issued: January 10, 2006
Serial No.: 09/809,534	)	
	)	Attorney Docket: 2027.601000/KG
Filed: March 15, 2001	)	(2006010)
	)	
For: AZEOTROPIC DISTILLATION OF	)	
" CYCLIC ESTERS OF HYDROXY "	)	
ORGANIC ACIDS	)	CUSTOMER NO. 23720

REQUEST FOR CERTIFICATE OF CORRECTION

MAIL STOP M FEE  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MAIL STOP M FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below.

January 27, 2006

  
Cynde Meinhart

Sir:

The patentee requests a certificate of correction for Patent and Trademark Office ("PTO") errors pursuant to 37 C.F.R. §1.322 and §1.323. Enclosed is a check in the amount of \$230 for the fees required by 37 C.F.R. §1.20(a) and §1.17(i). If any additional fee is required, please charge Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/KG.

One of the errors for which correction is requested is the omission of the assignee name from the cover page of the patent. Since the blank for the assignee was not filled in on form PTOL-85B, the patentee states, as required by MPEP §1481.01, that the assignment was submitted for recordation as set forth in 37 C.F.R. §3.11 before issuance of the patent.

The following errors are listed on the attached form PTO-1050:

On the face of the patent, please add the assignee information as follows:

-- Assignee: Tate & Lyle Ingredients Americas, Inc.  
Decatur, IL (US) --

In column 22, line 6, delete "failing" and insert -- falling -- .

In column 22, line 11, delete "scream" and insert -- stream -- .

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON, P.C.  
CUSTOMER NO. 23720

January 27, 2006



Kenneth D. Goodman  
Reg. No. 30,460  
10333 Richmond, Suite 1100  
Houston, Texas 77042  
(713) 934-4094  
(713) 934-7011 (fax)

ATTORNEY FOR APPLICANTS

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : **6,984,293**  
 DATED : **January 10, 2006**  
 INVENTORS : **Michael Charles Milner Cockrem/Istvan Kovacs**

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below.

On the face of the patent, please add the assignee information as follows:

-- Assignee: Tate & Lyle Ingredients Americas, Inc.  
 Decatur, IL (US) --

In column 22, line 6, delete "failing" and insert -- falling -- .

In column 22, line 11, delete "scream" and insert -- stream -- .

MAILING ADDRESS OF SENDER:  
 Kenneth D. Goodman  
 WILLIAMS, MORGAN & AMERSON, P.C.  
 10333 Richmond, Suite 1100  
 Houston, Texas 77042

PATENT NO. 6,984,293  
 No. of add'l. copies  
 @ 50¢ per page





UNITED STATES PATENT AND TRADEMARK OFFICE

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Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

Patent No. : 6,984,293  
Inventor(s) : Cockrem et al  
Issued : January 10 2006  
Title : Azeotropic Distillation Of Cyclic Esters Of Hydroxy Organic Acids

March 17, 2006

2027.601000

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of 37 CFR 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Fee(s) Transmittal Form PTOL-85B. After payment of the issue fee, correction of assignment data submitted on the PTOL-85B can only be done by Certificate of Correction under 37 CFR 1.323, with a request under 37 CFR 3.81(b).

A request for a patent to be corrected to state the name of the assignee must:

- A. state that the assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent;
- B. include a request for a certificate of correction under 37 CFR 1.323 along with the fee set forth in 37 CFR 1.20(a); and
- C. include the processing fee set forth in 37 CFR 1.17(i).

If the request is granted, Certificates of Correction Branch will be notified that a Certificate of Correction may be issued.

See Manual of Patent Examining Procedure, Section 1481.01 (Rev. 3) (Oct. 2005).

*Applicant has not included items A and or C above, accordingly, the request for Certificate of Correction to add or change the assignee data is dismissed.*

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail: Mail Stop PETITIONS  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

KPE

2027.601002

By hand: Customer Service Window  
Mail Stop Petitions  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

DO NOT

MAR 20 2005

By fax: 571-273-8300  
ATTN: Office of Petitions

RECEIVED

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.

A certificate of correction will be issued to correct the remaining errors noted in your request.

*Monyna Dorsey*

**Monyna Dorsey**  
For Cecelia Newman  
Decisions & Certificates  
of Correction Branch  
(703) [308-9390 or (703) 305-8309

*Comments*

CHECKED BY:	
1ST CHECK	<i>[Signature]</i>
2ND CHECK	<i>[Signature]</i>

Kenneth D. Goodman  
Williams, Morgan & Amerson  
10333 Richmond, Suite 1100  
Houston, Texas 77042

Myd

7-2



**CUSTOMER NO. 23720**

FORM PTO-1595  
(Rev. 10/02)

**RECORDATION FORM COVER SHEET**

U.S. Department of Commerce

OMB No. 0651-0027 (exp. 6/30/2005)

**PATENTS ONLY**

**Patent and Trademark Office**

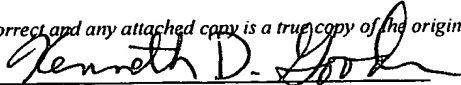
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party:</p> <p align="center"><b>A.E. STALEY MANUFACTURING COMPANY</b></p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <hr/> <p>3. Nature of conveyance:</p> <table style="width:100%;"> <tr> <td><input type="checkbox"/> Assignment</td> <td><input type="checkbox"/> Merger</td> </tr> <tr> <td><input type="checkbox"/> Security Agreement</td> <td><input checked="" type="checkbox"/> Change of Name</td> </tr> <tr> <td><input type="checkbox"/> Other</td> <td></td> </tr> </table> <p>Execution Date: <b>December 13, 2004</b></p>	<input type="checkbox"/> Assignment	<input type="checkbox"/> Merger	<input type="checkbox"/> Security Agreement	<input checked="" type="checkbox"/> Change of Name	<input type="checkbox"/> Other		<p>2. Name and address of receiving party:</p> <p>Name: <b>TATE &amp; LYLE INGREDIENTS AMERICAS, INC.</b></p> <p>Internal Address: <b>P. O. Box 151</b></p> <p>Street Address: <b>2200 East Eldorado Street</b></p> <p>City: <b>Decatur</b> State: <b>Illinois</b> Zip: <b>62521</b></p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<input type="checkbox"/> Assignment	<input type="checkbox"/> Merger						
<input type="checkbox"/> Security Agreement	<input checked="" type="checkbox"/> Change of Name						
<input type="checkbox"/> Other							

<p>4. Application number(s) or patent number(s):</p> <p>If this document is being filed together with a new application, the execution date of the application is:</p> <table style="width:100%;"> <tr> <td style="width:50%;"> <p>A. Patent Application No.(s):</p> <p><b>09/809,649</b> <b>09/809,534</b> <b>09/809,243</b></p> </td> <td style="width:50%;"> <p>B. Patent No.(s):</p> </td> </tr> </table> <p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>A. Patent Application No.(s):</p> <p><b>09/809,649</b> <b>09/809,534</b> <b>09/809,243</b></p>	<p>B. Patent No.(s):</p>	
<p>A. Patent Application No.(s):</p> <p><b>09/809,649</b> <b>09/809,534</b> <b>09/809,243</b></p>	<p>B. Patent No.(s):</p>		

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <b>Kenneth D. Goodman</b> <b>Williams, Morgan &amp; Amerson, P.C.</b> <b>10333 Richmond, Suite 1100</b></p> <p>City: <b>Houston</b> State: <b>Texas</b> Zip: <b>77042</b></p>	<p>6. Total number of applications and patents involved: <u><b>3</b></u></p> <hr/> <p>7. Total fee (37 C.F.R. 3.41)----- <u><b>\$120.00</b></u></p> <p><input type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u><b>50-0786/2027.597000KG</b></u> (Attach duplicate copy of this page if paying by deposit account)</p>
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**DO NOT USE THIS SPACE**

<p>9. Statement and signature.</p> <p><i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p>	
<p align="center"><b>Kenneth D. Goodman</b></p> <hr/> <p>Name of Person Signing, Reg. No. <b>30,460</b></p>	<p align="center"></p> <hr/> <p align="right">Signature</p> <p align="right">Date <b>08/12/05</b></p>
<p align="right">Total number of pages including cover sheet, attachments and documents: <b>5</b></p>	

**CERTIFICATE OF FACSIMILE**  
**37 C.F.R. § 1.6(d)**

I hereby certify that this paper is being facsimile transmitted to Mail Stop Assignment Recordation Services, Director of the U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450, facsimile number 1-703-306-5995, on this 12<sup>th</sup> day of August 2005.

  
Cynde Meinhart

# Delaware

PAGE 1

*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "A. E. STALEY MANUFACTURING COMPANY", CHANGING ITS NAME FROM "A. E. STALEY MANUFACTURING COMPANY" TO "TATE & LYLE INGREDIENTS AMERICAS, INC.", FILED IN THIS OFFICE ON THE FIFTEENTH DAY OF DECEMBER, A.D. 2004, AT 5:53 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE FIRST DAY OF JANUARY, A.D. 2005.



0943555 8100

050006549

*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State  
AUTHENTICATION: 9395614

DATE: 01-04-05

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 06:47 PM 12/15/2004  
FILED 05:53 PM 12/15/2004  
SRV 040910144 - 0943555 FILE

CERTIFICATE OF AMENDMENT

TO

CERTIFICATE OF INCORPORATION

OF

A. E. STALEY MANUFACTURING COMPANY

A. E. Staley Manufacturing Company, a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware, DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of said Corporation, by unanimous written consent dated December 13, 2004 adopted resolutions proposing and declaring advisable the following amendment to the Certificate of Incorporation of said Corporation:

WHEREAS, the Board of Directors of this Corporation deems it to be in the best interest of the Corporation to amend its Certificate of Incorporation in order to change the name of the Corporation to Tate & Lyle Ingredients Americas, Inc.;

NOW, THEREFORE, BE IT HEREBY RESOLVED, That the Certificate of Incorporation be amended by deleting Article First in its entirety and inserting a new Article First in its place which shall read as follows:

FIRST: The name of the Corporation is Tate & Lyle Ingredients Americas, Inc.

FURTHER RESOLVED, That said amendment shall become effective on January 1, 2005;

FURTHER RESOLVED, That said amendment be recommended to the sole stockholder of this Corporation for approval by such stockholder, such approval to be a condition precedent to the effectiveness of the amendment; and

FURTHER RESOLVED, That the appropriate officers of this Corporation be and each of them hereby is authorized to take all such further action and execute and deliver all such further certificates, instruments, notices and other documents as may be deemed necessary or advisable to accomplish the purposes of the foregoing resolutions.


---

SECOND: That the sole stockholder of said Corporation, by unanimous written consent dated December 13, 2004, did adopt said Amendment as required by statute.

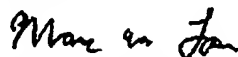
THIRD: That the aforesaid Amendment was duly adopted in accordance with the applicable provisions of Sections 242 and 228 of the General Corporation Law of Delaware.

IN WITNESS WHEREOF, said A. E. Staley Manufacturing Company has caused this Certificate to be signed by its Executive Vice President and attested by its Assistant Secretary this 13<sup>th</sup> day of December, 2004.

A. E. STALEY MANUFACTURING COMPANY

  
J. Patrick Mohan,  
Executive Vice President

ATTEST:

  
Marc W. Larson, Assistant Secretary